

# On Ultra-Short Block-Codes on Two Special Binary Channels

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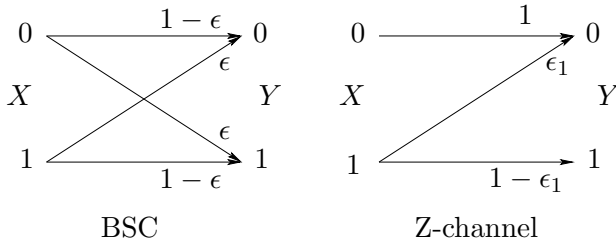
## 1 Introduction

Shannon proved in his ground-breaking work that it is possible to find an information transmission scheme that can transmit messages at arbitrarily small error probability as long as the transmission rate in **bits per channel use** is below the so-called **capacity** of the channel and the blocklength is very large. However, a large blocklength might not be practical. **The question now arises what we can theoretically say about the performance of communication systems with strongly restricted blocklength and their optimal design.**

Here we focus on the number of messages up to at most four. Our goal is to try to find the optimal code structure with respect to the **average error probability for any fixed blocklength  $n$**  on the binary symmetric channel (BSC) and the Z-channel. I.e., for a fixed  $n$  we try to design a code that minimizes the error probability among all possible codes of length  $n$ .

## 2 Channel Models

We consider two well-known binary channels: the **binary symmetric channel (BSC)** with crossover probability  $\epsilon$  and the **Z-channel** with 1-0-crossover probability  $\epsilon_1$ :



## 3 Optimal Code Design

**Definition 1.** The **flip code of type  $t$**  for  $t \in \{0, 1, \dots, \lfloor \frac{n}{2} \rfloor\}$  is defined by the following codebook matrix  $\mathcal{C}$  with  $\mathcal{M} = 2$  codewords:

$$\mathcal{C}^{(2)} = \begin{pmatrix} 0 & \cdots & 0 & \overbrace{1 \cdots 1}^t \\ 1 & \cdots & 1 & 0 \cdots 0 \end{pmatrix}$$

A **weakly flip code of length  $n$**  for  $\mathcal{M} = 3$  or  $\mathcal{M} = 4$  codewords is defined by a codebook matrix that consists of  $n$  columns taken from the following candidate sets:

$$\left\{ \mathbf{c}_1^{(3)} \triangleq \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \mathbf{c}_2^{(3)} \triangleq \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathbf{c}_3^{(3)} \triangleq \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \right\}$$

or

$$\left\{ \mathbf{c}_1^{(4)} \triangleq \begin{pmatrix} 0 \\ 0 \\ 1 \\ 1 \end{pmatrix}, \mathbf{c}_2^{(4)} \triangleq \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix}, \mathbf{c}_3^{(4)} \triangleq \begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} \right\},$$

respectively.

**Proposition 2.** For  $\mathcal{M} = 2$  codewords and any blocklength  $n$ , on a BSC, the **flip codes of type  $t$**  for any  $t \in \{0, 1, \dots, \lfloor \frac{n}{2} \rfloor\}$  are all optimal, and on a Z-channel, the **flip code of type 0** is optimal.

**Theorem 3.** For  $\mathcal{M} = 3$  or  $\mathcal{M} = 4$  codewords and any blocklength  $n$ , on a BSC, an optimal code is the **weakly flip code** constructed recursively by the following choice of candidate columns:

$$\mathcal{C}^{(\mathcal{M})} = \left( \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_3^{(\mathcal{M})} \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_2^{(\mathcal{M})} \mathbf{c}_3^{(\mathcal{M})} \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_2^{(\mathcal{M})} \dots \right).$$

On a Z-channel, an optimal choice for the codebook matrix is

$$\mathcal{C}^{(\mathcal{M})} = \left( \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_2^{(\mathcal{M})} \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_2^{(\mathcal{M})} \mathbf{c}_1^{(\mathcal{M})} \mathbf{c}_2^{(\mathcal{M})} \dots \right).$$

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